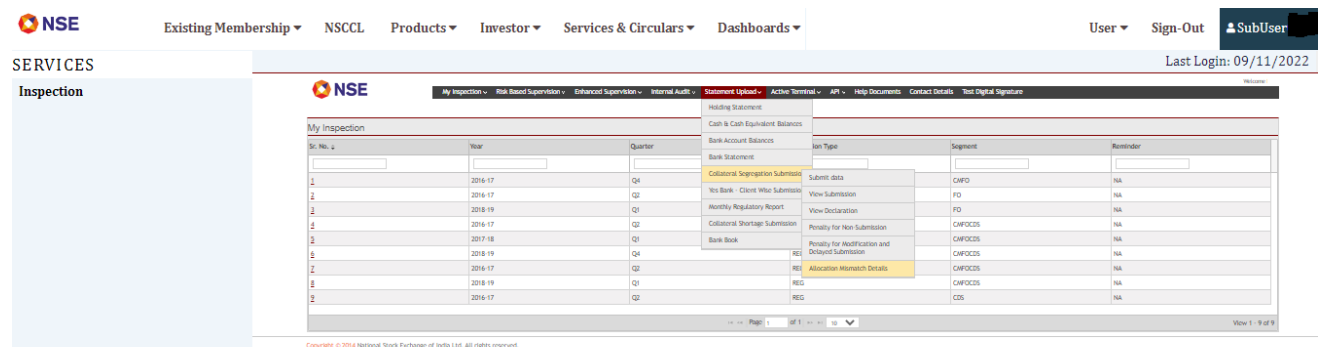


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Annexure 1

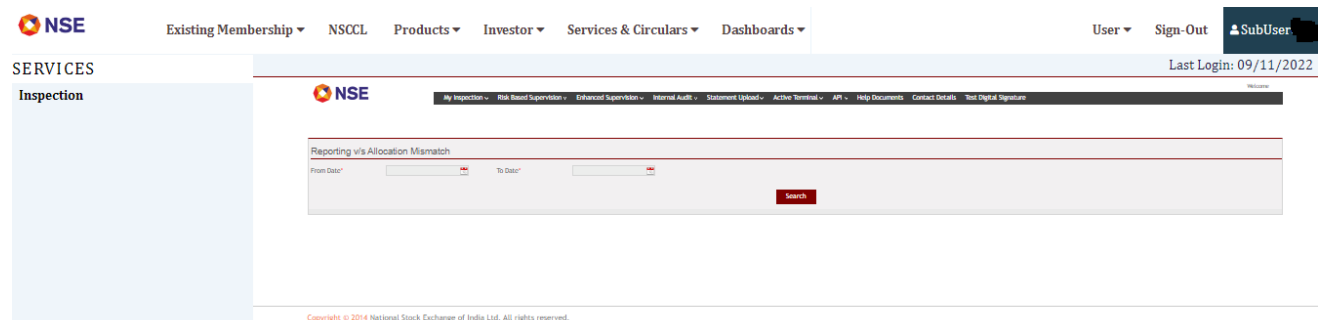
Steps for viewing the three reports under Allocation Mismatch details report on Member portal (ENIT)

1. Login using the following link <https://inspection.nseindia.com/MemberPortal/>
2. Navigation: - Inspection→ Statement Upload→ Client Collateral Submission→ Allocation Mismatch Details



The screenshot shows the NSE Member Portal interface. The left sidebar contains the 'Inspection' menu. The main content area displays a table with columns for 'Sr. No.', 'Year', 'Quarter', 'Statement Type', 'Segment', and 'Reminder'. The table lists various reports including 'Collateral Segregation Submission', 'No Bank - Client Wire Submission', 'Monthly Regulatory Report', 'Collateral Shortage Submission', and 'Bank Book'. The 'Allocation Mismatch Details' report is highlighted in yellow. The bottom of the page shows the copyright notice: 'Copyright © 2014 National Stock Exchange of India Ltd. All rights reserved.'

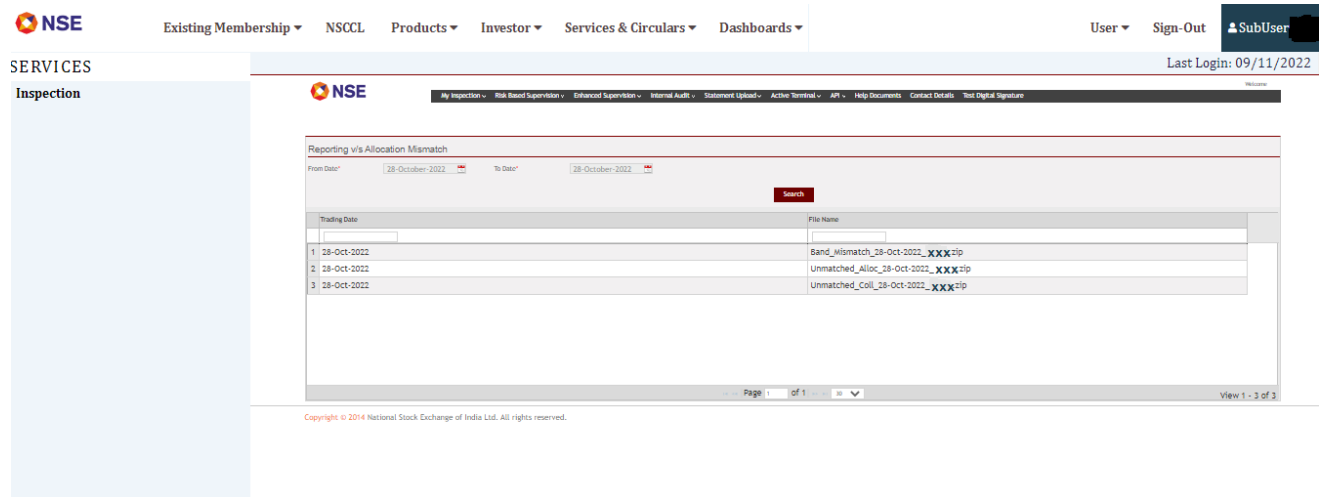
3. After clicking on the Allocation Mismatch Details, the following screen shall be displayed as Reporting v/s Allocation Mismatch



The screenshot shows the NSE Member Portal interface. The left sidebar contains the 'Inspection' menu. The main content area displays a form titled 'Reporting v/s Allocation Mismatch'. The form has two input fields: 'From Date' and 'To Date', both with red 'X' icons indicating required fields. A 'Search' button is located below the input fields. The bottom of the page shows the copyright notice: 'Copyright © 2014 National Stock Exchange of India Ltd. All rights reserved.'

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4. Clearing member can select the trade date in the date range provided as per the below screen. Three zip folders will be visible under the File name column, on clicking on the file/folder, it will get downloaded.



NSE Existing Membership ▾ NSCCL Products ▾ Investor ▾ Services & Circulars ▾ Dashboards ▾ User ▾ Sign-Out SubUser

Services Inspection Last Login: 09/11/2022

NSE My Inspection ▾ Risk Based Supervision ▾ Balanceof Supervision ▾ Internal Audit ▾ Statement Upload ▾ Archive Terminal ▾ API ▾ Help Documents Contact Details Test Digital Signature

Reporting via Allocation Mismatch

From Date: 28-October-2022 To Date: 28-October-2022 Search

Trading Date	File Name
28-Oct-2022	Band_Mismatch_28-Oct-2022_XXX.zip
28-Oct-2022	Unmatched_Alloc_28-Oct-2022_XXX.zip
28-Oct-2022	Unmatched_Coll_28-Oct-2022_XXX.zip

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